

# CS301

## Session 9

## Agenda

- ♦ The uScheme interpreter
- ♦ Approaches to solving the homework problem

## Abstract syntax: top level

```
datatype toplevel = EXP      of exp
                  | DEFINE    of name * lambda
                  | VAL       of name * exp
                  | USE       of name
```

1

## Abstract syntax: expressions

```
datatype
exp = LITERAL of value
        | VAR      of name
        | SET      of name * exp
        | IFX      of exp * exp * exp
        | WHILEX   of exp * exp
        | BEGIN    of exp list
        | LETX     of let_kind *
                    (name * exp) list * exp
        | LAMBDA   of lambda
        | APPLY    of exp * exp list
and let_kind = LET | LETREC | LETSTAR
```

2

3

4

# Abstract syntax: values

```
and value =
  NIL
  | BOOL    of bool
  | NUM     of int
  | SYM     of name
  | PAIR    of value * value
  | CLOSURE of lambda * value ref env
  | PRIMITIVE of primitive
withtype primitive = value list -> value
and lambda      = name list * exp
```

5

# Design choices

- ◆ ML has all the features of uScheme (and then some)
- ◆ ...so interpreting uScheme in ML is pretty easy
- ◆ Choice: when do we represent a uScheme feature *directly* by the same feature in ML?
- ◆ Example: the store

6

# Environment and store

- ◆ ML types for generic environments

```
type name = string
type 'a env = (name * 'a) list
```

- ◆ Instantiate to get a mapping from names to locations:

```
value ref env
```

- ◆ We're using the ML store to represent the uScheme store!

7

# Manipulating environments

```
(* lookup and assignment of existing bindings *)
exception NotFound of name
fun find (name, []) = raise NotFound name
  | find (name, (n, v)::tail) = if name = n then v
    else find(name, tail)

(* adding new bindings *)
exception BindListLength
fun bind(name, v, rho) = (name, v) :: rho
fun bindList(n::vars, v::vals, rho) = bindList
  (vars, vals, bind(n, v, rho))
  | bindList([], [], rho) = rho
  | bindList _ = raise BindListLength
```

8

# Initial environment

```
val emptyEnv = []  
  
fun initialEnv() =  
  let val rho = foldl  
    (fn ((name, prim), rho)  
     => bind(name, ref (PRIMITIVE prim), rho))  
    emptyEnv (( "+", arithOp op + ) ::  
              ( "-", arithOp op - ) ::  
              ...)
```

9

# The function eval

```
fun eval(e, rho) =  
  let fun ev(LITERAL n) = n  
        | ev(VAR v) = ...  
        | ev(SET (n, e)) = ...  
        | ev(IFX (e1, e2, e3)) = ...  
        | ev(WHILEX (guard, body)) = ...  
        | ev(BEGIN es) = ...  
        | ev(LAMBDA l) = ...  
        | ev(APPLY (f, args)) = ...  
        | ev(LETX (LET, bs, body)) = ...  
        | ev(LETX (LETSTAR, bs, body)) = ...  
        | ev(LETX (LETREC, bs, body)) = ...  
  in  ev e  
  end
```

Why is there no parameter "sigma"? Note the handling of "rho"!

10

# Translating semantics to code

11

# Variables

- ♦ Lookup

$$\frac{x \in \text{dom } \rho \quad \rho(x) \in \text{dom } \sigma}{\langle \text{VAR}(x), \rho, \sigma \rangle \Downarrow \langle \sigma(\rho(x)), \sigma \rangle}$$

| `ev(VAR v) = !(find(v, rho))`

- ♦ What's that ! doing there? Where does `rho` come from?

12

# Assignment

$$\diamond \frac{x \in \text{dom } \rho \quad \rho(x) = l \quad \langle e, \rho, \sigma \rangle \Downarrow \langle v, \sigma' \rangle}{\langle \text{SET}(x, e), \rho, \sigma \rangle \Downarrow \langle v, \sigma' \{l \mapsto v\} \rangle}$$

```
| ev(SET (n, e)) =
  let val v = ev e
  in  find (n, rho) := v;
      v
  end
```

- ♦ What does `:=` mean? What's the reason for `;`?

13

# Let-binding

$$l_1, \dots, l_n \notin \text{dom } \sigma$$

$$\langle e_1, \rho, \sigma \rangle \Downarrow \langle v_1, \sigma_1 \rangle$$

:

$$\langle e_n, \rho, \sigma_{n-1} \rangle \Downarrow \langle v_n, \sigma_n \rangle$$

$$\frac{\langle e, \rho \{x_1 \mapsto l_1, \dots, x_n \mapsto l_n\}, \sigma_n \{l_1 \mapsto v_1, \dots, l_n \mapsto v_n\} \rangle \Downarrow \langle v, \sigma' \rangle}{\langle \text{LET}(\langle x_1, e_1, \dots, x_n, e_n \rangle, e), \rho, \sigma \rangle \Downarrow \langle v, \sigma' \rangle}$$

```
| ev(LETX (LET, bs, body)) =
  let val (names, values) = ListPair.unzip bs
  in eval (body, bindList(names, map (ref o ev) values, rho))
  end
```

- ♦ Where do the fresh locations come from? Notice the environment used for `eval`!

14

# Let\* binding

$$l_1, \dots, l_n \notin \text{dom } \sigma$$

$$\langle e_1, \rho, \sigma \rangle \Downarrow \langle v_1, \sigma' \rangle \quad \rho_1 = \rho \{x_1 \mapsto l_1\} \quad \sigma_1 = \sigma' \{l_1 \mapsto v_1\}$$

:

$$\langle e_n, \rho_{n-1}, \sigma_{n-1} \rangle \Downarrow \langle v_n, \sigma'_{n-1} \rangle \quad \rho_n = \rho_{n-1} \{x_n \mapsto l_n\} \quad \sigma_n = \sigma'_{n-1} \{l_n \mapsto v_n\}$$

$$\langle e, \rho_n, \sigma_n \rangle \Downarrow \langle v, \sigma' \rangle$$

$$\frac{}{\langle \text{LETSTAR}(\langle x_1, e_1, \dots, x_n, e_n \rangle, e), \rho, \sigma \rangle \Downarrow \langle v, \sigma' \rangle}$$

```
| ev(LETX (LETSTAR, bs, body)) =
  let fun step ((n, e), rho) = bind(n, ref (eval(e, rho)), rho)
  in eval (body, foldl step rho bs)
  end
```

- ♦ Where is `rho` bound in the second line? In the third line? How is the sequencing controlled?

15

# Letrec binding

- ♦ Left as an exercise for the reader

16

# Evaluating functions

$x_1, \dots, x_n$  all distinct

$$\langle \text{LAMBDA}(\langle x_1, \dots, x_n \rangle, e), \rho, \sigma \rangle \Downarrow \langle \langle \langle \text{LAMBDA}(\langle x_1, \dots, x_n \rangle, e), \rho \rangle \rangle, \sigma \rangle$$

| ev(LAMBDA l) = CLOSURE(l, rho)

- ◆ Remember:

```
datatype value = NIL  
...  
| CLOSURE of lambda * value ref env
```

- ◆ This is the main key point for the assignment. What's the current type of the result of evaluating a lambda? What do you want to change it to?

17

# Applying primitive functions

```
| ev(APPLY (f, args)) =  
  (case ev f  
   of PRIMITIVE prim => prim (map ev args))
```

- ◆ Another crucial point for the assignment: if lambda expressions are going to evaluate to a value of the same type as primitive functions, how should we expect to apply them to arguments?

18

# Applying defined functions

$l_1, \dots, l_n \notin \text{dom } \sigma$

$$\langle e, \rho, \sigma \rangle \Downarrow \langle \langle \langle \text{LAMBDA}(\langle x_1, \dots, x_n \rangle, e_c), \rho_c \rangle \rangle, \sigma_0 \rangle$$
$$\langle e_1, \rho, \sigma_0 \rangle \Downarrow \langle v_1, \sigma_1 \rangle$$

:

$$\langle e_n, \rho, \sigma_{n-1} \rangle \Downarrow \langle v_n, \sigma_n \rangle$$

$$\langle e_c, \rho_c \{x_1 \mapsto l_1, \dots, x_n \mapsto l_n\}, \sigma_n \{l_1 \mapsto v_1, \dots, l_n \mapsto v_n\} \rangle \Downarrow \langle v, \sigma' \rangle$$
$$\langle \text{APPLY}(e, e_1, \dots, e_n), \rho, \sigma \rangle \Downarrow \langle v, \sigma' \rangle$$

```
| ev(APPLY (f, args)) = (case ev f of ...  
| CLOSURE clo =>  
  let val ((formals, body), savedrho) = clo  
  val actuals = map ev args  
  in eval(body, bindList(formals, map ref  
                           actuals, savedrho))  
  handle BindListLength => raise RuntimeError (...)  
end
```

19

# Changing it

- ◆ What will happen to the code for applying user functions in your new version of the interpreter?
- ◆ How will you make sure the function body is evaluated in the correct environment?
- ◆ How will you test to make sure you did the right thing?

20

## Approaching the assignment

- ♦ Big clue: "rename PRIMITIVE to PROCEDURE"
- ♦ Why? because now all procedures will have the same representation.
- ♦ A useful sidetrack: understand exactly how ML functions +, - etc. are used to construct the uScheme primitives.

21

## Some clues

- ♦ The design choice being explored has to do with which uScheme features are to be represented by the corresponding ML features
- ♦ For a complete answer, pay attention to error handling; but in the beginning, ignore it
- ♦ A slight emendation: the result of the eval of a lambda has *the same type* as a primitive function

22

## More clues

- ♦ Virtually all the code you need is already there in the interpreter. You just have to reorganize.
- ♦ It's a lot of work to do this the wrong way. It's very little work to do it the right way. Remember, the change is described as a "simplification"!

23

# Agenda

## CS301 Session 10

- ♦ Introduction to type systems
- ♦ A monomorphic type system for Impcore
- ♦ Types for arrays

2

## Static vs. dynamic checking

- ♦ Dynamic checking in uScheme:

```
-> (define appendfoo (λ (l) (append 'foo l))
appendfoo
-> (appendfoo '(1 2))
error: car applied to non-pair foo in (car l1)
```

- ♦ Static checking in ML:

```
- fun appendfoo l = "foo" @ l;
! Toplevel input:
! fun appendfoo l = "foo" @ l;
!
! Type clash: expression of type
!   string
! cannot have type
!   'a list
```

## Checking and interpreters

- ♦ Dynamic type checks: integrated with evaluation - a single-stage interpreter
- ♦ Static type checks: first phase of a two-stage interpreter

3

4

# Static type checking: why?

- ♦ Not just to annoy novice programmers
- ♦ Support for serious programming
  - ♦ Catch mistakes at compile time and reduce dependency on completeness of testing
  - ♦ Document the intended behavior of programs
  - ♦ Define interfaces between modules
- ♦ Support for optimizing compilers

# Where we're going

- ♦ Typed Impcore: a simple - and annoying - type system
- ♦ Typed uScheme: a powerful - and still annoying - type system ...
- ♦ ... that motivates *type inference* as implemented for ML, Haskell, etc.

5

6

# What Impcore types do

- ♦ In a well-typed Impcore program we know:
  - ♦ Every function (including primitives) receives the right number and type of actual parameters
  - ♦ Only Booleans are used for flow control (if and while)
- ♦ ...and we know this without ever running the program!

# What they don't do

- ♦ We don't know if
  - ♦ there is division by 0
  - ♦ application of car or cdr to the empty list
  - ♦ illegal array indexing
  - ♦ infinite looping or recursion
  - ♦ wrong answers

7

8

# Extending Impcore

- ♦ Values: integers, Booleans, arrays
- ♦ Add typing rules and a static type checker
- ♦ Show type soundness: if a well-typed expression  $e$  evaluates to  $v$ , then  $v$  is compatible with the type of  $e$ 
  - ♦ `int` yields a number
  - ♦ `bool` yields 0 or 1 (!)
  - ♦ etc.

9

# Extending the syntax

- ♦ Argument and result types must be declared, e.g.

```
(define int exp ((int b) (int e))
  (if (= 0 e) 1
      (* b (exp b (- e 1)))))
```

10

# Extending the syntax (2)

- ♦ Array syntax example:

```
-> (define (array int) nzeroes ((int n))
     (array-make n 0))
nzeroes
-> (nzeroes 10)
[0 0 0 0 0 0 0 0 0 0]
```

11

# The types of tImpcore

- ♦ Base types

int  
bool  
unit

- ♦ Array types

(array type)

12

# Booleans

- ♦ Absence of Boolean literals leads to some oddities:

```

-> (= 0 0)
1
-> (= 0 1)
0
-> (if 1 2 3)
type error: Condition in if expression has type
int, which should be bool
-> (if (= 0 0) 2 3)
2
-> (val true (= 0 0))
1
-> (if true 2 3)
2

```

13

# Reminder: Impcore semantics

- ♦ Remember that we needed three environments for Impcore:  $\xi, \phi, \rho$  for global variables, functions, and formal parameters
- ♦ In our type system (sometimes called a *static semantics*) we have three type environments  $\Gamma_\xi, \Gamma_\phi, \Gamma_\rho$  to record the types of global variables, functions, and parameters

14

# Type system

- ♦ Simple types:  $\tau = \text{INT} \mid \text{BOOL} \mid \text{UNIT} \mid \text{ARRAY}(\tau)$
- ♦ Function types:  $\tau_f = \tau_1 \times \dots \times \tau_n \rightarrow \tau$
- ♦ Typing judgment for expressions:  $\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e : \tau$
- ♦ Typing judgement for top-level items:  
 $\langle t, \Gamma_\xi, \Gamma_\phi \rangle \rightarrow \langle \Gamma'_\xi, \Gamma'_\phi \rangle$
- ♦ Properties: deterministic, sound w.r.t. evaluation

15

# Literals and variables

- ♦ Literals are numbers

$$\overline{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{LITERAL}(v) : \text{INT}}$$

- ♦ Variable types are kept in the type environments

$$\frac{x \in \text{dom } \Gamma_\rho}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{VAR}(x) : \Gamma_\rho(x)}$$

$$\frac{x \notin \text{dom } \Gamma_\rho \quad x \in \text{dom } \Gamma_\xi}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{VAR}(x) : \Gamma_\xi(x)}$$

16

# Assignments

- ♦ To parameters

$$\frac{x \in \text{dom } \Gamma_\rho \quad \Gamma_\rho(x) = \tau \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e : \tau}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{SET}(x, e) : \tau}$$

- ♦ To globals

$$\frac{x \notin \text{dom } \Gamma_\rho \quad x \in \text{dom } \Gamma_\xi \quad \Gamma_\xi(x) = \tau \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e : \tau}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{SET}(x, e) : \tau}$$

17

18

# Typing a while-loop

- ♦ The value returned is the uninteresting "unit"

$$\frac{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_1 : \text{BOOL} \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_2 : \tau}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{WHILE}(e_1, e_2) : \text{UNIT}}$$

19

# Typing an if-expression

- ♦ The two arms must have the same type - why?

$$\frac{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_1 : \text{BOOL} \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_2 : \tau \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_3 : \tau}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{IF}(e_1, e_2, e_3) : \tau}$$

18

# Typing a sequence

- ♦ Types 1 ... n-1 are uninteresting

$$\frac{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_1 : \tau_1 \dots \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_n : \tau_n}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{BEGIN}(e_1, \dots, e_n) : \tau_n}$$

20

# Function application

- ♦ Using the function type environment

$$\frac{\Gamma_\phi(f) = \tau_1 \times \dots \times \tau_n \rightarrow \tau \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_i : \tau_i}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{APPLY}(f, e_1, \dots, e_n) : \tau}$$

- ♦ We check that the actual parameters have the required types

21

# Function definition

- ♦ Extending the function type environment

$$\frac{\Gamma_\xi, \Gamma_\phi \{ f \mapsto \tau_1 \times \dots \times \tau_n \rightarrow \tau \}, \{x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n\} \vdash e : \tau}{\langle \text{DEFINE}(f, (\langle x_1 : \tau_1, \dots, x_n : \tau_n \rangle, e : \tau), \Gamma_\xi, \Gamma_\phi, \rightarrow \langle \Gamma_\xi, \Gamma_\phi \{ f \mapsto \tau_1 \times \dots \times \tau_n \rightarrow \tau \} \rangle)$$

- ♦ Notice how we *assume* the formals have the correct types while we are typing the body!

22

# Top level value binding

- ♦ We extend the global type environment

$$\frac{\Gamma_\xi, \Gamma_\phi, \{ \} \vdash e : \tau}{\langle \text{VAL}(x, e), \Gamma_\xi, \Gamma_\phi \rangle \rightarrow \langle \Gamma_\xi \{ x \mapsto \tau \}, \Gamma_\phi \rangle}$$

23

# Typing arrays

- ♦ Introduction rule

$$\frac{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_1 : \text{INT} \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_2 : \tau}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{ARRAY-MAKE}(e_1, e_2) : \text{ARRAY}(\tau)}$$

- ♦ One of the elimination rules:

$$\frac{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_1 : \text{ARRAY}(\tau) \quad \Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash e_2 : \text{INT}}{\Gamma_\xi, \Gamma_\phi, \Gamma_\rho \vdash \text{ARRAY-GET}(e_1, e_2) : \tau}$$

24

# A type-checking interpreter

- ♦ Instead of a read-eval-print loop we have a read-check-eval-print loop
- ♦ The type checking stage is a straightforward translation of the inference rules
- ♦ Now we see the usefulness of the polymorphic environment type from the uScheme interpreter:

```
typeof : exp * ty env * funty env * ty env -> ty
```

25

# Abstract syntax

- ♦ Types and expressions

```
datatype ty      = INTTY | BOOLTY | UNITY | ARRAYTY of ty
datatype funty = FUNTY of ty list * ty
datatype exp    = LITERAL of value
                | VAR of name
                | SET of name * exp
                | IFX of exp * exp * exp
                | WHILEX of exp * exp
                | BEGIN of exp list
                | APPLY of name * exp list
                | AGET of exp * exp
                | ASET of exp * exp * exp
                | AMAKE of exp * exp
                | ALEN of exp
```

26

# Abstract syntax

- ♦ Top level

```
type userfun =
{ formals : (name * ty) list, body : exp, returns : ty }

datatype toplevel = EXP      of exp
                  | DEFINE of name * userfun
                  | VAL     of name * exp
                  | USE    of name
```

27

# Typing a function definition

$$\frac{\Gamma_\xi, \Gamma_\phi\{f \mapsto \tau_1 \times \dots \times \tau_n \rightarrow \tau\}, \{x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n\} \vdash e : \tau}{\langle \text{DEFINE}(f, (\langle x_1 : \tau_1, \dots, x_n : \tau_n \rangle, e : \tau), \Gamma_\xi, \Gamma_\phi, \rightarrow \langle \Gamma_\xi, \Gamma_\phi\{f \mapsto \tau_1 \times \dots \times \tau_n \rightarrow \tau\}$$

```
fun topty (t, globals, functions) =
  case t
  ...
  | DEFINE (name, {returns, formals, body}) =>
    let val (fnames, ftys) =
        ListPair.unzip formals
    val functions' =
        bind(name, FUNTY (ftys, returns), functions)
    val tau =
        typeof (body, globals, functions',
                bindList(fnames, ftys, emptyEnv))
    in if tau = returns then
       (globals, functions')
    else
      raise TypeError (...)
```

28